

# New Extensions of Dirac's Theorems

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## Abstract

Let  $G$  be a graph on  $n$  vertices with degree sequence  $\delta = d_1 \leq d_2 \leq \dots \leq d_n$  and let  $c$  be the circumference - the length of a longest cycle in  $G$ . In 1952, Dirac proved: (i) every graph with  $d_1 \geq \frac{n}{2}$  is hamiltonian; (ii) in every 2-connected graph,  $c \geq \min\{n, 2d_1\}$ . In this paper we present the following two Dirac-type extensions: (iii) every graph with  $d_\delta \geq \frac{n}{2}$  is hamiltonian; (iv) in every 2-connected graph,  $c \geq \min\{n, 2d_\delta\}$ . The results are sharp.

Keywords: Hamilton cycle, Longest cycle, Circumference, Minimum degree, Degree sequence.

## 1. Introduction

We consider only finite undirected graphs with neither loops nor multiple edges. A good reference for any undefined terms is [2].

The set of vertices of a graph  $G$  is denoted by  $V(G)$  and the set of edges by  $E(G)$ . Let  $n$  be the order (the number of vertices) of  $G$ ,  $c$  the order of a longest cycle (called circumference) in  $G$  and  $p$  the order of a longest path. We use  $N(v)$  to denote the set of all neighbors of a vertex  $v$  and  $d(v) = |N(v)|$  to denote the degree of vertex  $v$ . The minimum degree in  $G$  is denoted by  $\delta$ . Let  $d_1, d_2, \dots, d_n$  be the degree sequence in  $G$  with  $\delta = d_1 \leq d_2 \leq \dots \leq d_n$ .

A path (simple path) of order  $m$  is a sequence of distinct vertices  $v_1, \dots, v_m$ , denoted by  $v_1v_2\dots v_m$ , such that  $v_{i-1}v_i$  is an edge for all  $2 \leq i \leq m$ . Similarly, a cycle of order  $m$  is a sequence of distinct vertices  $v_1, \dots, v_m$ , denoted by  $v_1v_2\dots v_mv_1$ , such that  $v_{i-1}v_i$  and  $v_mv_1$  are edges for all  $2 \leq i \leq m$ . In particular, for  $m = 2$ ,  $v_1v_2v_1$  is a cycle of order 2; and for  $m = 1$ ,  $v_1v_1$  is a cycle of order 1. So, by the definition, every vertex (edge) can be considered as a cycle of order 1 (2, respectively). A graph  $G$  is hamiltonian if  $G$  contains a Hamilton cycle, that is a simple spanning cycle.

We write a cycle (a path)  $Q$  with a given orientation by  $\vec{Q}$ . The reverse sequence of vertices of  $\vec{Q}$  is denoted by  $\overleftarrow{Q}$ . For  $x \in V(Q)$ , we denote the successor and the predecessor of  $x$  on  $\vec{Q}$  (if such vertices exist) by  $x^+$  and  $x^-$ , respectively. For  $U \subseteq V(Q)$ , we denote  $U^+ = \{u^+ | u \in U\}$  and  $U^- = \{u^- | u \in U\}$ . We use  $P = x\vec{P}y$  to denote a path with end

vertices  $x$  and  $y$  in the direction from  $x$  to  $y$ . We say that vertex  $z_1$  precedes vertex  $z_2$  on a path  $\overrightarrow{Q}$  if  $z_1, z_2$  occur on  $\overrightarrow{Q}$  in this order, and indicate this relationship by  $z_1 \prec z_2$ .

In 1952, Dirac [3] gave the first sufficient condition for a graph to be hamiltonian and the first nontrivial lower bound for the circumference in terms of minimum degree  $d_1 = \delta$ .

**Theorem A:** [3]. *Every graph with  $d_1 \geq \frac{n}{2}$  is hamiltonian.*

**Theorem B:** [3]. *In every 2-connected graph,  $c \geq \min\{n, 2d_1\}$ .*

A great number of generalizations and improvements of Theorems A and B are known under various conditions.

In this paper we present the following two Dirac-type extensions of Theorems A and B in terms of  $d_i$  (for appropriate  $i$ ) instead of  $d_1$ .

**Theorem 1:** *Every graph with  $d_\delta \geq \frac{n}{2}$  is hamiltonian.*

**Theorem 2:** *In every 2-connected graph,  $c \geq \min\{n, 2d_\delta\}$ .*

It is not hard to see that if  $G$  is a graph with  $d_\delta \geq \frac{n}{2}$  or  $G$  is a 2-connected graph, then  $\delta \geq 2$ .

To see that Theorem 1 and Theorem 2 are sharp, let  $G = K_\delta + \overline{K}_{\delta+1}$ . Since  $d_\delta = \delta$  and  $c = 2\delta < n$ , we conclude that the bound  $d_\delta \geq \frac{n}{2}$  in Theorem 1 cannot be replaced by  $d_\delta \geq \frac{n-1}{2}$ .

Let  $G = K_\delta + (\delta K_1 \cup K_2)$ . Clearly,  $d_\delta = \delta$  and  $d_{\delta+1} = \delta + 1$ . Observing also that  $c = 2\delta + 1 < n$ , we conclude that the bound  $d_\delta \geq \frac{n}{2}$  in Theorem 1 cannot be replaced by  $d_{\delta+1} \geq \frac{n}{2}$ .

As for Theorem 2, the graph example  $G = K_\delta + \overline{K}_{\delta+1}$  shows that the bound  $c \geq \min\{n, 2d_\delta\}$  in Theorem 2 cannot be replaced by  $c \geq \min\{n, 2d_\delta + 1\}$ . Finally, the graph example  $G = K_\delta + (\delta K_1 \cup K_2)$  shows that the bound  $c \geq \min\{n, 2d_\delta\}$  cannot be replaced by  $c \geq \min\{n, 2d_{\delta+1}\}$ . Thus, Theorem 1 and Theorem 2 are sharp in all respects.

Let  $\overrightarrow{P} = v_1 v_2 \dots v_p$  be a longest path in  $G$ . Clearly,  $N(v_1) \cup N(v_p) \subseteq V(P)$ . A vine of length  $m$  on  $P$  is a set

$$\{L_i = w_i \overrightarrow{L}_i z_i : 1 \leq i \leq m\}$$

of internally-disjoint paths such that

$$(a) V(L_i) \cap V(P) = \{w_i, z_i\} \quad (i = 1, \dots, m),$$

$$(b) v_1 = w_1 \prec w_2 \prec z_1 \preceq w_3 \prec z_2 \preceq w_4 \prec \dots \preceq w_m \prec z_{m-1} \prec z_m = v_p \text{ on } P.$$

**Lemma 1:** [3]. *If  $G$  is 2-connected, then there is at least one vine on  $P$ .*

We need also the following lemma.

**Lemma 2:** [1]. *Let  $G$  be a 2-connected graph on  $n$  vertices. If  $v_1 v_2 \dots v_p$  is a longest path of  $G$ , then there exists a cycle of length at least  $\min\{d(v_1) + d(v_p), n\}$ .*

## 2. Proofs

**Proof of Theorem 1.** Assume first that  $G$  is not connected and let  $H_1$  and  $H_2$  be two connected components of  $G$ . Clearly,  $|V(H_i)| \geq \delta + 1$  ( $i = 1, 2$ ) and

$$\max\{d(v) : v \in V(H_i)\} \geq d_{\delta+1} \quad (i = 1, 2).$$

Hence

$$2d_\delta \leq 2d_{\delta+1} \leq \max\{d(v) : v \in V(H_1)\} + \max\{d(v) : v \in V(H_2)\}$$

$$\leq |V(H_1)| + |V(H_2)| - 2 \leq n - 2,$$

contradicting the hypothesis  $2d_\delta \geq n$ . So, we can assume that  $G$  is connected. Let  $\vec{P} = v_1 v_2 \dots v_p$  be a longest path in  $G$ . Clearly,  $N(v_1) \cup N(v_p) \subseteq V(P)$ . Assume that

(a1)  $P$  is chosen so that  $d(v_1)$  is maximum.

Let  $x_1, x_2, \dots, x_t$  be the elements of  $N(v_1)$  occurring on  $\vec{P}$  in a consecutive order, where  $t = d(v_1) \geq \delta$ . Observe that for each  $i \in \{2, \dots, t\}$ ,

$$x_i^- \overleftarrow{P} v_1 x_i \vec{P} v_p$$

is a longest path in  $G$ . By (a1),

$$d(v_1) \geq d(x_i^-) \quad (i = 1, 2, \dots, t). \quad (1)$$

Assume first that  $x_t = v_p$ , that is  $c \geq p$ . If  $c \geq p + 1$ , then the cycle of order at least  $p + 1$  contains a path of order at least  $p + 1$ , a contradiction. Hence,  $c = p$ . Put  $\vec{C} = v_1 v_2 \dots v_p v_1$ . If  $p = n$ , then  $C$  is a Hamilton cycle in  $G$ . Otherwise, since  $G$  is connected,  $u_1 u_2 \in E(G)$  for some  $u_1 \in V(C)$  and  $u_2 \notin V(C)$ . Then  $u_1^+ \vec{C} u_1 u_2$  is a path of order  $p + 1$ , a contradiction.

Now assume that  $x_t \neq v_p$ , that is  $x_t \prec v_p$ . Further, we can assume that

(a2)  $P$  is chosen so that  $d(v_p)$  is maximum, subject to (a1).

Let  $y_1, y_2, \dots, y_f$  be the elements of  $N(v_p)$  occurring on  $\overleftarrow{P}$  in a consecutive order. By (a2),

$$d(v_p) \geq d(y_i^+) \quad (i = 1, 2, \dots, f). \quad (2)$$

By (1) and (2),

$$\begin{aligned} d(v_1) &\geq \max\{d(x_1^-), d(x_2^-), \dots, d(x_t^-)\} \\ &\geq \max\{d_1, d_2, \dots, d_t\} = d_t = d_{d(v_1)} \geq d_\delta. \end{aligned}$$

and

$$\begin{aligned} d(v_p) &\geq \max\{d(y_1^+), d(y_2^+), \dots, d(y_f^+)\} \\ &\geq \max\{d_1, d_2, \dots, d_f\} = d_f = d_{d(v_p)} \geq d_\delta, \end{aligned}$$

implying that

$$d(v_1) + d(v_p) \geq 2d_\delta \geq n. \quad (3)$$

By Lemma 2,  $G$  is hamiltonian. However, we present a short proof of this fact according to the latest terminology.

**Case 1.**  $N(v_1) \cap N^+(v_p) \neq \emptyset$ .

Let  $v \in N(v_1) \cap N^+(v_p)$ , that is  $v_1 v, v_p v^- \in E(G)$ . Since

$$v_1 v \vec{P} v_p v^- \overleftarrow{P} v_1$$

is a cycle of order  $p$ , we have  $c \geq p$ , implying that  $c = p$ . Since  $G$  is connected, we have  $c = p = n$ , that is  $G$  is hamiltonian.

**Case 2.**  $N(v_1) \cap N^+(v_p) = \emptyset$ .

It follows that

$$\begin{aligned} n &\geq p \geq |N(v_1)| + |N^+(v_p)| + |\{v_1\}| \geq \\ &\geq |N(v_1)| + |N(v_p)| + 1 \geq d(v_1) + d(v_p) + 1. \end{aligned}$$

By (3),  $n \geq 2d_\delta + 1$ , contradicting the hypothesis  $d_\delta \geq \frac{n}{2}$ .  $\blacksquare$

**Proof of Theorem 2.** Let  $\vec{P} = v_1 v_2 \dots v_p$  be a longest path in  $G$ . Define the vertices  $x_1, x_2, \dots, x_t, y_1, y_2, \dots, y_f$  as in proof of Theorem 1, where we have proved

$$d(v_1) + d(v_p) \geq 2d_\delta. \quad (4)$$

**Case 1.**  $x_t \preceq y_f$ .

Let

$$\{L_i = w_i \vec{L}_i z_i : 1 \leq i \leq m\}$$

be a vine of minimal length  $m$  on  $\vec{P}$ . Since  $P$  is a longest path in  $G$ , we have  $L_1, L_M \in E(G)$ . Next, since  $m$  is minimal, we have  $x_t \prec z_2$ ,  $x_t \prec w_3$  and  $w_{m-1} \prec y_f$ ,  $z_{m-2} \prec y_f$ . Choose  $z_1^* \in V(P)$  such that  $w_2 \prec z_1^*$  and  $|V(w_2 \vec{P} z_1^*)|$  is minimal. Analogously, choose  $w_m^* \in V(P)$  such that  $w_m^* \prec z_{m-1}$  and  $|V(w_m^* \vec{P} z_{m-1})|$  is minimal. Put

$$H = P \cup \bigcup_{i=2}^{m-1} L_i \cup \{v_1 z_1^*, v_p w_m^*\}.$$

By deleting the following paths

$$w_i \vec{P} z_{i-1} \quad (i = 3, 4, \dots, m-1), \quad w_2 \vec{P} z_1^*, \quad w_m^* \vec{P} z_{m-1}$$

from  $H$  (except for their end vertices), we obtain a cycle  $C$  with at least  $d(v_1) + d(v_p) + 1$  vertices. By (4),

$$c \geq |V(C)| \geq d(v_1) + d(v_p) + 1 > 2d_\delta.$$

**Case 2.**  $y_f \prec x_t$ .

**Case 2.1.**  $N(v_1) \cap N^+(v_p) \neq \emptyset$ .

Let  $v \in N(v_1) \cap N^+(v_p)$ , that is  $v_1 v, v_p v^- \in E(G)$ . Since

$$v_1 v \vec{P} v_p v^- \overleftarrow{P} v_1$$

is a cycle of order  $p$  and  $G$  is connected, either  $p < |V(G)|$  and we can form a path longer than  $P$  (a contradiction) or  $p = |V(G)|$ , implying that  $c = p = n$ .

**Case 2.2.**  $N(v_1) \cap N^+(v_p) = \emptyset$ .

Since  $y_f \prec x_t$ , we can choose  $x_i \in N(v_1)$  and  $y_j \in N(v_p)$  such that  $y_j \prec x_i$  and  $v_1 v, v_p v \notin E(G)$  for each vertex  $v$  with  $y_j \prec v \prec x_i$ . Put

$$C = v_1 x_i \vec{P} v_p y_j \overleftarrow{P} v_1.$$

Then

$$\begin{aligned} c &\geq |V(C)| \geq |N(v_1)| + |N^+(v_p)| + |\{v_1\}| - |\{y_j\}| \\ &\geq |N(v_1)| + |N(v_p)| = d(v_1) + d(v_p). \end{aligned}$$

By (4),  $c \geq 2d_\delta$ .  $\blacksquare$

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### References

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## Դիրակի թեորեմների նոր ընդհանրացումներ

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### Անփոփում

Դիցուք  $G$ -ն  $\delta$  նվազագույն աստիճանի և  $\delta = d_1 \leq d_2 \leq \dots \leq d_n$  աստիճանային հաջորդականություն ունեցող  $n$  գագաթանի գրաֆ է:  $G$ -ի ամենաերկար ցիկլի երկարությունը նշանակվում է  $c$ -ով: 1952-ին Դիրակն ապացուցեց, որ (i)  $d_1 \geq \frac{n}{2}$  պայմանին բավարարող կամայական գրաֆ ունի Համիլտոնի ցիկլ, (ii) կամայական 2-կապակցված գրաֆում  $c \geq \min\{n, 2d_1\}$ : Ներկա աշխատանքում բերվում են նշված արդյունքների երկու ընդլայնումներ.(iii)  $d_\delta \geq \frac{n}{2}$  պայմանին բավարարող կամայական գրաֆ ունի Համիլտոնի ցիկլ, (iv) կամայական 2-կապակցված գրաֆում  $c \geq \min\{n, 2d_\delta\}$ : Ստացված արդյունքները ենթակա չեն բարելավման:

## Новые обобщения теорем Дирака

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### Аннотация

Пусть  $\delta = d_1 \leq d_2 \leq \dots \leq d_n$  последовательность степеней вершин  $n$ -вершинного графа  $G$  с минимальной степенью  $\delta$ . Длина длиннейшего цикла графа обозначается через  $c$ . В 1952 году Дирак доказал, что (i) каждый граф удовлетворяющий условию  $d_1 \geq \frac{n}{2}$ , имеет Гамильтонов цикл; (ii) если  $G$  является 2-связным графом, то  $c \geq \min\{n, 2d_1\}$ . В настоящей работе доказываются: (iii) каждый граф удовлетворяющий условию  $d_\delta \geq \frac{n}{2}$ , имеет Гамильтонов цикл; (iv) если  $G$  является 2-связным графом, то  $c \geq \min\{n, 2d_\delta\}$ . Полученные результаты неуплучшаемы.