

# A Common Generalization of Dirac’s Two Theorems

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## Abstract

A theorem is proved including Dirac’s two well-known theorems (1952) as particular cases.

**Keywords:** Hamilton cycle, Longest cycle, Longest path, Minimum degree.

## 1. Introduction

We consider only undirected graphs with no loops or multiple edges. For a graph  $G$ , we use  $n$  and  $c$  to denote the order and the circumference (the order of a longest cycle) of  $G$ . A graph  $G$  is hamiltonian if  $G$  contains a Hamilton cycle, that is a simple cycle  $C$  with  $|C| = c = n$ . A good reference for any undefined terms is [1].

The earliest two nontrivial lower bounds for the circumference were developed in 1952 due to Dirac [2] in terms of minimum degree  $\delta$  and  $p$  - the order of a longest path in  $G$ , respectively.

**Theorem A:** [2]. *If  $G$  is a 2-connected graph, then  $c \geq \min\{n, 2\delta\}$ .*

**Theorem B:** [2]. *If  $G$  is a 2-connected graph, then  $c \geq \sqrt{2p}$ .*

In this paper we present a common generalization of Theorem A and Theorem B, including both  $\delta$  and  $p$  in a common relation as parameters.

**Theorem 1:** *If  $G$  is a 2-connected graph, then*

$$c \geq \begin{cases} p, & \text{when } p \leq 2\delta, \\ p - 1, & \text{when } 2\delta + 1 \leq p \leq 3\delta - 2, \\ \sqrt{2p - 10 + \left(\delta - \frac{7}{2}\right)^2} + \delta + \frac{1}{2}, & \text{when } p \geq 3\delta - 1. \end{cases}$$

Since  $G$  is 2-connected, we have  $n \geq 3$ . If  $p \leq 2\delta$ , then by Theorem 1,  $c \geq p$ , implying that  $c = p = n$  ( $G$  is hamiltonian) and  $c = p > \sqrt{2p}$ . Next, if  $2\delta + 1 \leq p \leq 3\delta - 2$ , then by Theorem 1,  $c \geq p - 1$ . Since  $p \geq 2\delta + 1 \geq 5$ , we have  $c \geq p - 1 \geq 2\delta$  and  $c \geq p - 1 > \sqrt{2p}$ . Finally, if  $p \geq 3\delta - 1$ , then

$$\sqrt{2p - 10 + \left(\delta - \frac{7}{2}\right)^2} \geq \sqrt{2(3\delta - 1) - 10 + \left(\delta - \frac{7}{2}\right)^2} = \delta - \frac{1}{2},$$

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implying that  $c \geq 2\delta$  (by Theorem 1) and

$$\begin{aligned} & \left(\delta + \frac{1}{2}\right) \sqrt{2p - 10 + \left(\delta - \frac{7}{2}\right)^2} + \delta^2 - 3\delta + \frac{5}{4} \\ & \geq \left(\delta + \frac{1}{2}\right) \left(\delta - \frac{1}{2}\right) + \delta^2 - 3\delta + \frac{5}{4} = (\delta - 1)(2\delta - 1) > 0. \end{aligned}$$

Observing that the inequality

$$\left(\delta + \frac{1}{2}\right) \sqrt{2p - 10 + \left(\delta - \frac{7}{2}\right)^2} + \delta^2 - 3\delta + \frac{5}{4} > 0$$

is equivalent to

$$\sqrt{2p - 10 + \left(\delta - \frac{7}{2}\right)^2} + \delta + \frac{1}{2} > \sqrt{2p},$$

we conclude (by Theorem 1) that  $c > \sqrt{2p}$ .

Thus, Theorem 1 yields Theorem A and is stronger than Theorem B.

To show that Theorem 1 is best possible in a sense, observe first that in general,  $p \geq c$ , that is  $c = p$  when  $p \leq 2\delta$ , implying that the bound  $c \geq p$  in Theorem 1 cannot be replaced by  $c \geq p + 1$ . On the other hand, the graph  $K_{\delta, \delta+1}$ , where  $p = 2\delta + 1$  and  $c = 2\delta = p - 1$  shows that the condition  $p \leq 2\delta$  cannot be relaxed to  $p \leq 2\delta + 1$ . In addition, the graph  $K_{\delta, \delta+1}$ , where  $c = p$ , shows that the bound  $c \geq p - 1$  (when  $2\delta + 1 \leq p \leq 3\delta - 2$ ) cannot be replaced by  $c \geq p$ . Further, the graph  $K_2 + 3K_{\delta-1}$ , where  $n = p = 3\delta - 1$  and  $c = 2\delta \leq p - 2$  shows that the condition  $p \leq 3\delta - 2$  cannot be relaxed to  $p \leq 3\delta - 1$ . Finally, the same graph  $K_2 + 3K_{\delta-1}$ , where  $p = 3\delta - 1$  and

$$c = 2\delta = \sqrt{2p - 10 + \left(\delta - \frac{7}{2}\right)^2} + \delta + \frac{1}{2},$$

shows that the bound  $\sqrt{2p - 10 + \left(\delta - \frac{7}{2}\right)^2} + \delta + \frac{1}{2}$  in Theorem 1 cannot be improved to  $\sqrt{2p - 10 + \left(\delta - \frac{7}{2}\right)^2} + \delta + 1$ .

For a special case when  $2\delta + 1 \leq p \leq 3\delta - 2$ , we use the result of Ozeki and Yamashita [3].

**Theorem C:** [3]. *Let  $G$  be a 2-connected graph. Then either*

- (i)  $c \geq p - 1$  or
- (ii)  $c \geq 3\delta - 3$  or
- (iii)  $\kappa = 2$  and  $p \geq 3\delta - 1$ .

## 2. Notation and Preliminaries

The set of vertices of a graph  $G$  is denoted by  $V(G)$  and the set of edges - by  $E(G)$ . The neighborhood of a vertex  $x \in V(G)$  will be denoted by  $N(x)$ . We use  $d(x)$  to denote  $|N(x)|$ .

Paths and cycles in a graph  $G$  are considered as subgraphs of  $G$ . If  $Q$  is a path or a cycle, then the order of  $Q$ , denoted by  $|Q|$ , is  $|V(Q)|$ . We write a cycle  $Q$  with a given orientation by  $\overrightarrow{Q}$ . For  $x, y \in V(Q)$ , we denote by  $x\overrightarrow{Q}y$  the subpath of  $Q$  in the chosen direction from  $x$  to  $y$ . For  $x \in V(Q)$ , we denote the  $h$ -th successor and the  $h$ -th predecessor of  $x$  on  $\overrightarrow{Q}$  by  $x^{+h}$  and  $x^{-h}$ , respectively. We abbreviate  $x^{+1}$  and  $x^{-1}$  by  $x^+$  and  $x^-$ , respectively. For

$U \subseteq V(Q)$ ,  $U^+ = \{u^+ | u \in U\}$  and  $U^- = \{u^- | u \in U\}$ . We say that vertex  $z_1$  precedes vertex  $z_2$  on  $\overrightarrow{Q}$  if  $z_1, z_2$  occur on  $\overrightarrow{Q}$  in this order, and indicate this relationship by  $z_1 \prec z_2$ . We will write  $z_1 \preceq z_2$  when either  $z_1 = z_2$  or  $z_1 \prec z_2$ .

Let  $P = x \overrightarrow{P} y$  be a path. A vine on  $P$  is a set

$$\{L_i = x_i \overrightarrow{L}_i y_i : 1 \leq i \leq m\}$$

of internally-disjoint paths such that

$$(a) V(L_i) \cap V(P) = \{x_i, y_i\} \quad (i = 1, \dots, m),$$

$$(b) x = x_1 \prec x_2 \prec y_1 \preceq x_3 \prec y_2 \preceq x_4 \prec \dots \preceq x_m \prec y_{m-1} \prec y_m = y \text{ on } P.$$

**The Vine Lemma:** [4]. *Let  $G$  be a  $k$ -connected graph and  $P$  a path in  $G$ . Then there are  $k - 1$  pairwise-disjoint vines on  $P$ .*

The next three lemmas are crucial for the proof of Theorem 1.

**Lemma 1:** *Let  $G$  be a connected graph and  $P = x \overrightarrow{P} y$  a longest path in  $G$ .*

(i) *If  $xz, yz^- \in E(G)$  for some  $z \in V(x^+ \overrightarrow{P} y)$ , then  $c = p = n$ , that is  $G$  is hamiltonian.*

(ii) *If  $d(x) + d(y) \geq p$ , then  $c = p = n$ .*

(iii) *Let  $yz_1, xz_2 \in E(G)$  for some  $z_1, z_2 \in V(P)$  with  $x \prec z_1 \prec z_2 \prec y$  and  $|z_1 \overrightarrow{P} z_2| \geq 3$ .*

*If  $xz, yz \notin E(G)$  for each  $z \in V(z_1^+ \overrightarrow{P} z_2^-)$  and  $d(x) + d(y) \geq p + 3 - |z_1 \overrightarrow{P} z_2|$ , then  $c = p$ .*

**Lemma 2:** *Let  $G$  be a 2-connected graph and  $\{L_1, L_2, \dots, L_m\}$  be a vine on a longest path of  $G$ . Then*

$$c \geq \frac{2p - 10}{m + 1} + 4.$$

**Lemma 3:** *Let  $G$  be a connected graph and  $\{L_1, L_2, \dots, L_m\}$  be a vine on a longest path  $P = x \overrightarrow{P} y$  of  $G$ . Then either  $c = p$  or  $c \geq d(x) + d(y) + m - 2$ .*

### 3. Proofs

**Proof of Lemma 1:** (i) Let  $xz, yz^- \in E(G)$  for some  $z \in V(x^+ \overrightarrow{P} y)$ . Then  $c \geq |xz \overrightarrow{P} yz^- \overleftarrow{P} x| = p$ . If  $V(G) = V(P)$ , then clearly  $c = p$ . Otherwise, recalling that  $G$  is connected, we can form a path longer than  $P$ , a contradiction.

(ii) Let  $d(x) + d(y) \geq p$ . If  $xz, yz^- \in E(G)$  for some  $z \in V(x^+ \overrightarrow{P} y)$ , then we can argue as in (i). Otherwise  $N(x) \cap N^+(y) = \emptyset$ . Observing also that  $x \notin N(x) \cup N^+(y)$ , we get

$$\begin{aligned} p &\geq |N(x)| + |N^+(y)| + |\{x\}| \\ &= |N(x)| + |N(y)| + 1 = d(x) + d(y) + 1, \end{aligned}$$

contradicting the hypothesis.

(iii) Assume the contrary, that is  $c \leq p - 1$ . Then by (i),  $N(x) \cap N^+(y) = \emptyset$ . Clearly,  $x \notin N(x) \cup N^+(y)$ . Further, by the hypothesis,

$$V(z_1^{+2} \overrightarrow{P} z_2^-) \cap (N(x) \cup N^+(y)) = \emptyset,$$

implying that

$$p \geq |\{x\}| + |N(x)| + |N^+(y)| + |V(z_1^{+2} \overrightarrow{P} z_2^-)|$$

$$= d(x) + d(y) + |z_1 \overrightarrow{P} z_2| - 2,$$

contradicting the hypothesis. Thus,  $c = p$ . Lemma 1 is proved.  $\blacksquare$

**Proof of Lemma 2:** Let  $P = x \overrightarrow{P} y$  be a longest path in  $G$ . Put

$$\begin{aligned} L_i &= x_i \overrightarrow{L}_i y_i \quad (i = 1, \dots, m), \quad A_1 = x_1 \overrightarrow{P} x_2, \quad A_m = y_{m-1} \overrightarrow{P} y_m, \\ A_i &= y_{i-1} \overrightarrow{P} x_{i+1} \quad (i = 2, 3, \dots, m-1), \quad B_i = x_{i+1} \overrightarrow{P} y_i \quad (i = 1, \dots, m-1), \\ |A_i| - 1 &= a_i \quad (i = 1, \dots, m), \quad |B_i| - 1 = b_i \quad (i = 1, \dots, m-1). \end{aligned}$$

By combining appropriate  $L_i, A_i, B_i$ , we can form the following cycles:

$$\begin{aligned} Q_1 &= \bigcup_{i=1}^m A_i \cup \bigcup_{i=1}^m L_i, \\ Q_2 &= \bigcup_{i=1}^{m-1} A_i \cup B_{m-1} \cup \bigcup_{i=1}^{m-1} L_i, \\ Q_3 &= \bigcup_{i=2}^m A_i \cup B_1 \cup \bigcup_{i=2}^m L_i, \\ R_i &= B_i \cup A_{i+1} \cup B_{i+1} \cup L_{i+1} \quad (i = 1, \dots, m-2). \end{aligned}$$

Since  $|L_i| \geq 2$  ( $i = 1, \dots, m$ ), we have

$$\begin{aligned} c &\geq |Q_1| = \sum_{i=1}^m a_i + \sum_{i=1}^m (|L_i| - 1) \geq \sum_{i=1}^m a_i + m, \\ c &\geq |Q_2| = b_{m-1} + \sum_{i=1}^{m-1} a_i + \sum_{i=1}^{m-1} (|L_i| - 1) \geq b_{m-1} + \sum_{i=1}^{m-1} a_i + m - 1, \\ c &\geq |Q_3| = b_1 + \sum_{i=2}^m a_i + \sum_{i=2}^m (|L_i| - 1) \geq b_1 + \sum_{i=2}^m a_i + m - 1, \\ c &\geq |R_i| = b_i + a_{i+1} + b_{i+1} + |L_{i+1}| - 1 \\ &\geq b_i + a_{i+1} + b_{i+1} + 1 \quad (i = 1, \dots, m-2). \end{aligned}$$

By summing, we get

$$\begin{aligned} (m+1)c &\geq \left( 2 \sum_{i=1}^m a_i + 2 \sum_{i=1}^{m-1} b_i \right) + 2 \sum_{i=2}^{m-1} a_i + 4m - 4 \\ &\geq 2 \left( \sum_{i=1}^m a_i + \sum_{i=1}^{m-1} b_i + 1 \right) + 4m - 6 = 2p + 4m - 6, \end{aligned}$$

implying that

$$c \geq \frac{2p - 10}{m + 1} + 4.$$

Lemma 2 is proved.  $\blacksquare$

**Proof of Lemma 3:** If  $m = 1$ , then  $xy \in E(G)$  and by Lemma 1(i),  $c = p$ . Let  $m \geq 2$ . Put  $L_i = x_i \overrightarrow{L}_i y_i$  ( $i = 1, \dots, m$ ) and let

$$A_i, B_i, a_i, b_i, Q_i$$

be as defined in the proof of Lemma 2.

**Case 1:**  $m = 2$ .

Assume without loss of generality that  $L_1$  and  $L_2$  are chosen so as to minimize  $b_1$ . This means that  $N(x) \cup N(y) \subseteq V(A_1 \cup A_2)$ . By Lemma 1(iii), either  $c = p$  or  $d(x) + d(y) \leq p + 2 - |z_1 \overrightarrow{P} z_2| = p + 1 - b_1$ . If  $c = p$ , then we are done. Let  $d(x) + d(y) \leq p + 1 - b_1$ , that is  $p \geq d(x) + d(y) + b_1 - 1$ . Then  $p = a_1 + a_2 + b_1 + 1 \geq d(x) + d(y) + b_1 - 1$ , implying that

$$c \geq |Q_1| = a_1 + a_2 + 2 \geq d(x) + d(y) = d(x) + d(y) + m - 2.$$

**Case 2:**  $m = 3$ .

Let  $xz_1, yz_2 \in E(G)$  for some  $z_1, z_2 \in V(P)$ . If  $z_2 \prec z_1$  then  $\{xz_1, yz_2\}$  is a vine consisting of two paths (edges) and we can argue as in Case 1. Otherwise we have

$$N(x) \subseteq V(A_1 \cup A_2), \quad N(y) \subseteq V(A_2 \cup A_3)$$

and  $z_1 \preceq z_2$  for each  $z_1 \in N(x)$  and  $z_2 \in N(y)$ . Therefore,  $a_1 + a_2 + a_3 \geq d(x) + d(y) - 2$  and

$$\begin{aligned} c &\geq |Q_1| = a_1 + a_2 + a_3 + 3 \\ &\geq d(x) + d(y) + 1 = d(x) + d(y) + m - 2. \end{aligned}$$

**Case 3:**  $m \geq 4$ .

Choose  $\{L_1, \dots, L_m\}$  so as to minimize  $m$ . Then clearly

$$N(x) \subseteq V(A_1 \cup A_2), \quad N(y) \subseteq V(A_{m-1} \cup A_m)$$

and  $z_1 \prec z_2$  for each  $z_1 \in N(x)$  and  $z_2 \in N(y)$ . Observing also that

$$a_1 + a_2 \geq d(x) - 1, \quad a_{m-1} + a_m \geq d(y) - 1,$$

we get

$$\begin{aligned} c \geq |Q_1| &= \sum_{i=1}^m a_i + m = (a_1 + a_2 + a_{m-1} + a_m) + \sum_{i=3}^{m-2} a_i + m \\ &\geq d(x) + d(y) - 2 + \sum_{i=3}^{m-2} a_i + m \geq d(x) + d(y) + m - 2. \end{aligned}$$

Lemma 3 is proved.  $\blacksquare$

**Proof of Theorem 1:** Let  $P = x \overrightarrow{P} y$  be a longest path in  $G$ .

**Case 1:**  $p \leq 2\delta$ .

If  $xy \in E(G)$ , then by Lemma 1(i),  $c = p$ . Let  $xy \notin E(G)$ . Then  $d(x) + d(y) \geq 2\delta \geq p$  and by Lemma 1(ii),  $c = p$ .

**Case 2:**  $2\delta + 1 \leq p \leq 3\delta - 2$ .

If  $c \geq 3\delta - 3$ , then  $c \geq p + 2 - 3 = p - 1$ . Next, if  $\kappa = 2$  and  $p \geq 3\delta - 1$ , then  $p \geq 3\delta - 1 \geq p + 1$ , a contradiction. By Theorem C,  $c \geq p - 1$ .

**Case 3:**  $p \geq 3\delta - 1$ .

Since  $G$  is 2-connected, there is a vine  $\{L_1, \dots, L_m\}$  on  $P$ . By Lemma 3,  $m \leq c - d(x) - d(y) + 2 \leq c - 2\delta + 2$ . Using Lemma 2, we get

$$c \geq \frac{2p - 10}{m + 1} + 4 \geq \frac{2p - 10}{c - 2\delta + 3} + 4,$$

implying that

$$c \geq \sqrt{2p - 10 + \left(\delta - \frac{7}{2}\right)^2} + \delta + \frac{1}{2}.$$

Theorem 1 is proved.  $\blacksquare$

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## Գիրակի երկու թեորեմների ընդհանրացում

Կ. Մոսեսյան և Ժ. Նիկողոսյան

### Անփոփում

Ապացուցվում է մի թեորեմ, որն ընդգրկում է 1952-ին Գիրակի կողմից ստացված երկու հայտնի թեորեմները որպես մասնավոր դեպքեր:

## Обобщение двух теорем Дирака

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### Аннотация

Доказывается одна теорема, которая включает две известные теоремы Дирака, полученные в 1952 г., как частные случаи.